

## United States Patent and Trademark Office

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APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/071,272

HOUSTON, TX 77057-2198

02/08/2002

Greg A. Penner

11898.0021.NPUS00

**HOWREY LLP HOWREY SIMON ARNOLD & WHITE** 750 BERING DRIVE



**CONFIRMATION NO. 9001** FORMALITIES LETTER OC000000007988414\*

4.1.153

Date Mailed: 05/01/2002

# NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

JUPY OF PAPERS ORIGINALLY FILED

Filing Date Granted

#### **Items Required To Avoid Abandonment:**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 370 to complete the basic filing fee for a small entity.
- The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

#### Items Required To Avoid Processing Delays:

The item(s) indicated below are also required and should be submitted with any reply to this notice to avoid further processing delays.

 Additional claim fees of \$255 as a small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

### **SUMMARY OF FEES DUE:**

Total additional fee(s) required for this application is \$690 for a Small Entity

- \$370 Statutory basic filing fee.
- \$65 Late oath or declaration Surcharge.

- Total additional claim fee(s) for this application is \$255
  - **■** \$171 for 19 total claims over 20.
  - \$84 for 2 independent claims over 3.

A copy of this notice <u>MUST</u> be returned with the reply.

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Customer Service Center
Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE



#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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In re Application of:

Greg A. Penner Stefan A. Bledig Timothy W. Conner

Serial No.: 10/071,272

Filed: February 8, 2002

Confirmation No.: 9001

For: IDENTIFICATION OF SEEDS OR

PLANTS USING PHENOTYPIC

**MARKERS** 

Group Art Unit: 1638

Examiner: To be Assigned

McDwan Atty. Dkt. No.: 11898.0021.NPUS00

(MOBS:021)

RESPONSE TO 37 C.F.R. § 1.53(f) NOTICE

**CERTIFICATE OF MAILING** 37 C.F.R. 1.8

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231, on the date below:

Date: 6-11-02

**BOX MISSING PARTS** 

Commissioner for Patents Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Application Under 37 C.F.R. § 1.53(f), dated May 1, 2002, there are enclosed herewith:

- (a) Declaration executed by Greg A. Penner, Stefan Bledig and Timothy W. Conner;
- (b) A Power of Attorney on behalf of Monsanto Technology LLC;

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(c) An Information Disclosure Statement and Form 1449 and references cited; and

(d) A copy of Notice to File Missing Parts of Application-Filing Date Granted.

An Assignment to Monsanto Technology LLC and a check for \$40.00 are being filed under separate cover.

The Commissioner is authorized to charge the fee of \$1,380.00 to Deposit Account No. 01-2508/11898.0021.NPUS00/KAM for the large entity statutory basic fee, additional claim fees and the surcharge for late filing of the declaration. Should any additional fees under 37 C.F.R. §§ 1.16 to 1.21 be required for any reason relating to the enclosed materials, the Commissioner is authorized to deduct said fees from Deposit Account No. 01-2508/11898.0021.NPUS00/KAM.

Please date stamp and return the accompanying postcard to evidence receipt of these documents.

Respectfully submitted,

Patricia Kammerer Reg. No. 29,775

Attorney for Assignee, Monsanto Technology LLC

HOWREY SIMON ARNOLD & WHITE, LLP 750 Bering Drive Houston, Texas 77057-2198 (713) 787-1400

Date: June 10, 2002